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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL  
(only for Continuation or Divisional applications under 37 CFR 1.53(d))

Docket No.: 176/60197 (6-11405-675/676)  
Express Mail No.: EI989354428US  
Examiner: Larry R. Helms, Ph.D.  
Art Unit: 1642

Commissioner for Patents  
Washington, D.C. 20231  
BOX: CPA

This is a Request for filing a:

- ☒ continuation or  
☐ divisional application

under 37 CFR 1.53(d), continued prosecution application, (CPA) of prior application number 09/016,743, filed on January 30, 1998, of Joseph D. Rosenblatt, Pia Challita-Eid, Sherie L. Morrison, Camille N. Abboud, Seung-Uon Shin entitled CHIMERIC ANTIBODY FUSION PROTEINS FOR THE RECRUITMENT AND STIMULATION OF AN ANTITUMOR IMMUNE RESPONSE.

The above-identified prior pending application is hereby expressly abandoned as of the filing date of this request for a continued prosecution application (CPA).

1. ☐ Enter the unentered amendment previously filed on [\*enter date] under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
  - a. ☐ Delete the following inventor(s) named in the prior nonprovisional application:  
  

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  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet enclosed herewith.
4. ☐ A new power of attorney is enclosed herewith.
5. ☐ Information Disclosure Statement (IDS) with PTO-1449 form(s) and copies of references are enclosed herewith.
6. The filing fee is calculated on the basis of the claims existing in the prior application as amended above:

07/24/2002 AWONDAF1 00000129 09016743

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370.00 OP

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXXXX	XXXXXXXXXX	XXXXXX	\$370	OR	XXXXXX	\$740
TOTAL CLAIMS	10 - 20 =	0	x 9 =	\$	OR	x 18 =	\$
INDEP CLAIMS	1 - 3 =	0	x 42 =	\$	OR	x 84 =	\$
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED			x140 =	\$	OR	x280 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$370	OR	TOTAL	\$

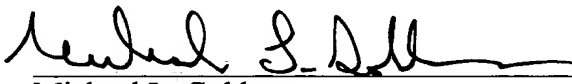
7. [X] A check in the amount of **\$370.00** is enclosed to cover the above filing fee.
8. [X] The Commissioner is hereby authorized to charge fees which may be required, or credit overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
9. Small entity status:
- [X] Applicants claim small entity status. (See 37 CFR 1.27.)
- [ ] A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- [ ] is no longer claimed.
10. [X] A copy of the Request for Four-Month Extension of Time in prior application.
11. [X] Declaration Of Seung-Uon Shin Under 37 C.F.R. § 1.132 (4 pages) with Appendix A-E.
12. [X] A self-addressed, prepaid postcard for acknowledging receipt.
13. Other:

Address all future communications to (may only be completed by Applicant, or attorney or agent of record):

Michael L. Goldman  
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Clinton Square  
P.O. Box 31051  
Rochester, New York 14603-1051

Respectfully submitted,

Date: July 19, 2002

  
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Jul 19 2002  
PATENT & TRADEMARK OFFICE

PATENT  
Docket No.: 176/60197 (6-11405-675/676)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Rosenblatt et al.  
Serial No. : CPA of 09/016,743  
Cnfrm. No. : 7389  
Filed : Herewith  
For : CHIMERIC ANTIBODY FUSION  
PROTEINS FOR THE RECRUITMENT  
AND STIMULATION OF AN  
ANTITUMOR IMMUNE RESPONSE

Examiner:  
Larry R. Helms, Ph.D.

Art Unit:  
1642

# 54/c  
K5  
727-02

PRELIMINARY AMENDMENT

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202  
Box: CPA

Dear Sir:

In response to the final rejection, please amend the above-identified application as follows:

In the Claims:

Please cancel claims 11-24 and 26-76 without prejudice. Please amend claim 1 as follows:

1. (Thrice Amended) A chimeric molecule suitable for stimulating a tumor specific immune response comprising:  
a complete antibody having heavy and light chains each with an N terminus and being capable of specifically binding to a tumor cell associated antigen, and  
a chemokine, which is coupled to the N terminus of the heavy or light chain of the antibody such that the antibody remains capable of binding to the tumor cell associated antigen and the chemokine retains activity.

Does  
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only 1 char  
not 2  
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